

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: ROBERT DILDY / INTELLECTUAL VENTURES I LLC

Application No./Patent No.: 09/228,475 / 6,263,311 Filed/Issue Date: January 11, 1999 / July 17, 2001

Titled: METHOD AND SYSTEM FOR PROVIDING SECURITY USING VOICE RECOGNITION

INTELLECTUAL VENTURES I LLC, a Limited Liability Company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
  2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
  3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Inventor To: ADVANCED MICRO DEVICES, INC.

The document was recorded in the United States Patent and Trademark Office at  
Reel 009722, Frame 0815, or for which a copy thereof is attached.

2. From: ADVANCED MICRO DEVICES, INC. To: LEGERITY, INC.

The document was recorded in the United States Patent and Trademark Office at  
Reel 011700, Frame 0686, or for which a copy thereof is attached.

3. From: LEGERITY, INC. To: MORGAN STANLEY & CO. INCORPORATED

The document was recorded in the United States Patent and Trademark Office at  
Reel 011601, Frame 0539, or for which a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 3-2.05]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Henry A. Petri/

May 21, 2012

Signature

Date

Henry A. Petri

Attorney for Assignee

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

**STATEMENT UNDER 37 CFR 3.73(b) - Supplemental Sheet**

Continuation of chain of title from the inventor(s) to the current assignee.

4. From: Legerity Inc., Legerity Holdings, Inc.,  
Legerity International, Inc. To: Morgan Stanley & Co. Incorporated  
The document was recorded in the United States Patent and Trademark Office at  
Reel 013372, Frame 0063, or for which a copy thereof is attached.
5. From: Morgan Stanley & Co. Incorporated To: Legerity Inc., Legerity Holdings, Inc.,  
Legerity International, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 025461, Frame 0704, or for which a copy thereof is attached.
6. From: Morgan Stanley & Co. Incorporated To: Legerity Inc., Legerity Holdings, Inc.,  
Legerity International, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 025077, Frame 0178, or for which a copy thereof is attached.
7. From: Legerity, Inc. To: KMB Capital Fund LLC  
The document was recorded in the United States Patent and Trademark Office at  
Reel 016323, Frame 0757, or for which a copy thereof is attached.
8. From: KMB Capital Fund LLC To: Intellectual Ventures I LLC  
The document was recorded in the United States Patent and Trademark Office at  
Reel 025467, Frame 0123, or for which a copy thereof is attached.
9. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.